

Uncrewed Surface Vehicles in the Global Ocean Observing System: A New Frontier for observing and monitoring at the air-sea interface

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Scope Statement

This manuscript (MS) proposes that Uncrewed Surface Vehicles (USV) become a network in the Global Ocean Observing System. The MS follows a string of FMARS publications focused on Global Ocean Observing System networks, including the Ocean Gliders network (Testor et al. 2019), the Fishing Vessels of Opportunity Network (Van Vracken et al. 2023), Animal borne Sensors (McMahon et al. 2021), SMART cables (Howe et al. 2019) and Argo floats (Roemmich et al. 2019) and others. Our MS is a natural extension of this series of publications, all published in FMARS. In addition, the MS reviews literatures, and identifies research gaps, developments in the new technology as it pertains to ocean science, and discusses the technology adoption challenges and the first steps towards meeting those challenges.

Conflict of interest statement

The authors declare a potential conflict of interest and state it below

The author(s) declared that they were not an editorial board member of Frontiers, at the time of submission.

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Keywords

Uncrewed Surface Vehicle (USV), Autonomous Surface Vessel (ASV), Air-sea interactions, Global Ocean Observing System (GOOS), In situ ocean observing system, Essential Ocean Variables (EOV), Essential Climate Variables (ECV), Weather observation

Abstract

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Observing air-sea interactions on a global scale is essential for improving Earth system forecasts. Yet these exchanges are challenging to quantify for a range of reasons, including extreme conditions, vast and remote under-sampled locations, requirements for a multitude of colocated variables, and the high variability of fluxes in space and time. Uncrewed Surface Vehicles (USVs) present a novel solution for measuring these crucial air-sea interactions at a global scale. Powered by renewable energy (e.g., wind and waves for propulsion, solar power for electronics), USVs have provided navigable and persistent observing capabilities over the past decade and a half. In our review of 200 USV datasets and 96 studies, we found USVs have observed a total of 33 variables spanning physical, biogeochemical, biological and ecological processes at the air-sea transition zone. We present a map showing the global proliferation of USV adoption for scientific ocean observing. This review, carried out under the auspices of the 'Observing Air-Sea Interactions Strategy' (OASIS), makes the case for a permanent USV network to complement the mature and emerging networks within the Global Ocean Observing System (GOOS). The Observations Coordination Group (OCG) overseeing GOOS has identified ten attributes of an in-situ global network. Here, we discuss and evaluate the maturation of the USV network towards meeting these attributes. Our article forms the basis of a roadmap to formalise and guide the global USV community towards a novel and integrated ocean observing frontier.

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55 Keywords: Uncrewed Surface Vehicle (USV); Autonomous Surface Vessel (ASV); Air-Sea Interactions; Global

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59 Observing air-sea interactions on a global scale is essential for improving Earth system forecasts. Yet 60 these exchanges are challenging to quantify for a range of reasons, including extreme conditions, vast 61 and remote under-sampled locations, requirements for a multitude of co-located variables, and the 62 high variability of fluxes in space and time. Uncrewed Surface Vehicles (USVs) present a novel solution 63 for measuring these crucial air-sea interactions at a global scale. Powered by renewable energy (e.g., 64 wind and waves for propulsion, solar power for electronics), USVs have provided navigable and 65 persistent observing capabilities over the past decade and a half. In our review of 200 USV datasets 66 and 96 studies, we found USVs have observed a total of 33 variables spanning physical, 67 biogeochemical, biological and ecological processes at the air-sea transition zone. We present a map 68 showing the global proliferation of USV adoption for scientific ocean observing. This review, carried 69 out under the auspices of the 'Observing Air-Sea Interactions Strategy' (OASIS), makes the case for a 70 permanent USV network to complement the mature and emerging networks within the Global Ocean 71 Observing System (GOOS). The Observations Coordination Group (OCG) overseeing GOOS has 72 identified ten attributes of an in-situ global network. Here, we discuss and evaluate the maturation of 73 the USV network towards meeting these attributes. Our article forms the basis of a roadmap to 74 formalise and guide the global USV community towards a novel and integrated ocean observing 75 frontier.

76 77

1. Introduction

78 The ocean plays a central role in the Earth's cycles of energy, water, gases, and biogeochemistry, 79 influencing weather and climate, biodiversity, and human activities. The ocean surface is an especially 80 important part of the Earth system as it is the interface between the ocean and the atmosphere 81 (Centurioni et al. 2019; Wanninkhof et al. 2019; Cronin et al. 2019). Here, momentum, energy (heat), 82 freshwater, and gases (e.g., climate-critical greenhouse gases) are exchanged between the ocean and 83 atmosphere. These air-sea fluxes act as a force on the ocean, driving ocean circulation and changing 84 the environmental properties and chemistry of the marine biosphere, while at the same time 85 influencing the atmosphere, with impacts on weather and climate. Quantifying these air-sea 86 exchanges is essential to understanding the weather-climate system and the Earth's energy budget, 87 forecasting weather and climate, tracking the role of the ocean in sequestering anthropogenic carbon 88 dioxide (CO₂), and investigating a range of biological and biogeochemical processes. Despite its 89 importance, the world's air-sea exchanges remain minimally observed.

90 The surface ocean is prone to harsh sampling conditions from both the atmosphere (e.g., high winds, 91 temperature, rain, snow, and ice) and the surface ocean (e.g., large waves, spray, sea ice, and strong 92 currents) particularly in wintertime. These, plus the remoteness of the majority of the world's oceans, 93 have hindered data collection at the air-sea interface for decades. Air-sea interactions are complex to 94 monitor, requiring measurements of multiple *in-situ* co-located state variables simultaneously by a 95 suite of instrumentation positioned near the surface ocean and lower atmosphere. Air-sea fluxes often 96 have high temporal and spatial variability that are difficult to sufficiently sample by lone ships or 97 moorings; ocean heating of the atmosphere can lead to rapid convective processes, resulting in 98 gustiness, cold-pool downdrafts, and highly-variable surface conditions (Wills et al. 2023). Likewise, 99 oceanic or atmospheric fronts, and eddies and storms can result in disequilibrium between the ocean 100 and atmosphere (Seo et al. 2023; Nicholson et al. 2022; Cronin et al. 2019; Swart et al. 2019), making 102 For over three decades, the Global Ocean Observing System (GOOS) has led a coordinated 103 international effort to build global ocean observing capability (IOC 2018). This has included growing 104 capacity for observing the air-sea interface. At present, there are only 25 air-sea flux moorings 105 distributed globally as part of the OceanSITES GOOS network (Cronin et al. 2023). As an 106 acknowledgment of the under-sampled air-sea interface, this is expected to increase as the Tropical 107 Pacific Observing System (TPOS) begins to implement recommendations made by the international 108 community (Cravatte et al. 2016). With advances in computational power and the applications of 109 artificial intelligence and machine learning, higher spatio-temporal resolutions of the air-sea interface 110 could deliver an ever-expanding list of insights and services than the existing global-scale air-sea flux 111 coverage (Sloyan et al. 2018). Satellites and numerical models currently have resolutions that are too 112 low to adequately capture or resolve detailed processes, which are required for weather-scale 113 variability and validating assumptions across time and space (Gentemann et al. 2020a). The inherent 114 challenge of quantifying air-sea fluxes lies in balancing the need for capturing high spatio-temporal 115 resolution in variable conditions, with broad-scale global-mean coverage.

116 **1.1 A new era of ocean data collection with USVs**

117 Uncrewed Surface Vehicles (USV; Figure 1) present a transformative solution to improving high-118 resolution observations in variable conditions, whilst delivering broad-scale coverage of the global 119 ocean surface. Renewable-energy powered USVs can traverse tens of thousands of kilometres 120 unassisted, simultaneously collecting data at high frequencies and thus solving the high-resolution and 121 broad scale juxtaposition required for air-sea interaction observations. USVs enable navigable access 122 to extreme environmental conditions such as tropical cyclones, winter storms and polar ice, which are 123 typically under-sampled due to safety concerns and sparsely located (or absent) fixed moorings. 124 Multiple oceanographic and atmospheric sensors can be remotely operated to simultaneously collect 125 the essential ocean and climate variables necessary to calculate air-sea fluxes. Almost any instrument-126 based sensor can potentially be integrated, creating a multidisciplinary platform for ocean monitoring 127 (Patterson et al. 2021), spanning ecology, biology, chemistry, physical oceanography, and atmospheric 128 science. A USV's position at the surface allows constant connectivity, near real-time data relays and 129 access to wind, wave, and solar energy for propulsion and powering sensors. As USVs become more 130 affordable, the implementation of multiple USVs used as force multipliers alongside other crewed or 131 uncrewed vessels will significantly increase spatio-temporal efficiency, reducing the cost and 132 increasing the accuracy of broad-scale surveys.

133 USVs have recently become a reliable way to access extreme and remote environments including 134 tropical cyclones (Lenain & Melville 2014; Mitarai & McWilliams 2016; Ino et al. 2021), major 135 hurricanes (Foltz et al. 2022; Zhang et al. 2023a; Zhang et al. 2023b; Yu et al. 2023), atmospheric cold 136 pools (Wills et al. 2021, 2023), volcanically active areas where crewed ship operations are restricted 137 (Tada et al. 2024), and in seasonally sea-ice covered polar seas (Wood et al. 2013; Swart et al. 2020; 138 Chiodi et al. 2021; Du Plessis et al. 2022; Drushka et al. 2024; Sivam et al. 2024). USVs have also 139 collected wave measurements in winter storms and hurricanes (Hole et al. 2016; Nickford et al. 2022; 140 Zhang et al. 2023a), current profiles in the North Sea and Chukchi Sea (Wullenweber et al. 2022; Chi 141 et al. 2023), wintertime storm-front interactions (Nickford et al. 2022, Toolsee et al. 2024), and have 142 crossed entire ocean basins (Villareal & Wilson 2014; Goebel et al. 2014) as well as circumnavigated 143 Antarctica (Nicholson et al. 2022; Sutton et al. 2021). Even a single USV can be used to survey fronts 144 and eddies, if air-sea flux measurements are combined to extrapolate sea surface temperature (SST) 145 to a "foundational SST" below the daytime stratification that occurs on sunny days with low winds 146 (Cronin et al. 2024). USV adoption has also forged new disciplinary capabilities by providing reduced-147 noise platforms for multiple types of acoustic monitoring (Hildebrand et al. 2013; Hildebrand et al.

148 2014; Pagniello et al. 2019), which is also complementary to fisheries research and operations (Mordy

149 et al. 2017; Handegard et al. 2024), and have been used and designed for cost-effective maritime

150 domain awareness (Nothacker, 2024), including for surveillance of remote marine protected areas

- 151 (Angus et al. 2022; Molina-Molina et al. 2021). USVs have allowed an expanded footprint for ocean
- 152 observing into extreme environments that challenge crewed vessels.



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156 ecosystem of high technology readiness level. These USVs are renewable powered, persistent,

157 variable in cost and complexity that individually are suited to specific tasks and collectively to a range

158 *of different environments and variable conditions.*

159 USV manufacturers, universities, and research institutions worldwide have pioneered groundbreaking 160 USV capabilities, yielding valuable data and insights into this technology's capabilities (Table 1). 161 However, progress has been siloed within individual projects, reducing the potential for collective 162 knowledge-building. The absence of standardised data collection, processing, disseminating and 163 storage practices further hinders adoption and advancement of the USV industry for the benefit of 164 society. Such complexities are not unique in ocean data collection, and previously, capacity 165 development has been effectively progressed by taking a globally coordinated approach to data 166 collection, management, and distribution. Such is the case with the 15 in-situ ocean observing 167 networks within GOOS, including OceanSITES, Argo, drifters, OceanGliders, and Surface Ocean CO2 168 Observing Network (SOCONET), which approach global ocean observing under ten Observations 169 Coordination Group (OCG) attributes to meet the needs of the global ocean observing community 170 (GOOS, 2018).

171 **Table 1**. Scientific publications since 2010 that utilise USV datasets within nine generalised fields of

172 study. We have listed each publication under the main field of study to reduce replication, however

173 some publications discuss multiple fields of study.

¹⁵⁵ Figure 1. A sample of commercially available USVs, scaled to USV length, illustrating a wide-ranging

Discipline	Field of Study	Peer reviewed publications using USV datasets
Physical Ocean and Atmosphere	Fluxes and air-sea interactions	*Toolsee et al. 2024; *Nickford et al. 2024; Sivam et al. 2024; Reeves Eyre et al. 2023; Iyer et al. 2022; Nagano et al. 2022a; *Nicholson et al. 2022; *Nickford et al. 2022; *Zhang et al. 2022; Grare et al. 2021; Siddle et al. 2021; *Sutton et al. 2021; Zhang et al. 2019a; *Monteiro et al. 2015; Edholm et al. in prep.
*Includes air- sea CO ₂ flux calculations	Tropical cyclone and extreme winds, including air-sea interactions	Chiodi et al. 2024; Kosaka et al. 2023; Yu et al. 2023; Zhang et al. 2023a; Zhang et al. 2023b; Foltz et al. 2022; Miles et al. 2021; Mitarai & McWilliams 2016; Lenain & Melville 2014
	Meso and submeso- scale processes	Bhuyan et al. in review; Cronin et al. 2024; Chi et al. 2023; Hodges et al., 2023; Swart et al., 2023; Wills et al. 2023; du Plessis et al. 2022; Nagano et al. 2022b; Wullenweber et al. 2022; Wills et al. 2021; Gentemann et al. 2020b; Nagano & Ando, 2020; Swart et al. 2020; Vazquez-Cuervo et al. 2019; Zhang et al. 2019b; Krug et al. 2017
	Marginal sea ice	Drushka et al. 2024; Crews et al. 2022; Chiodi et al. 2021; Zhou et al. 2021; Meinig et al. 2015; Cokelet et al. 2015; Wood et al. 2013
	Waves	Amador et al. 2023; Colosi et al. 2023; Thomson et al. 2018; Hole et al. 2016; Smith & Thomson 2016
	Oceanic boundary layer	Jia & Minnett, 2023; Jia et al. 2023; Zeiden et al 2023; Edholm et al. 2022; Scott et al. 2020; Schmidt et al. 2017; Ghani et al. 2014; Villareal & Wilson 2014; Daniel et al. 2011; Mullison et al. 2011
	Geodesy	linuma et al. 2021; Ino et al. 2021; Sakic et al. 2021; Foster et al. 2020; Penna et al. 2018; Berger 2016
Biology and ecology	Passive acoustics	Camus et al. 2021; de Robertis et al. 2019; Pagniello et al. 2019; Crance et al. 2016; Davis et al. 2016; Hildebrand et al. 2014; Hildebrand et al. 2013; Bingham et al. 2012; Wiggins 2009; Moore et al. 2007
	Biomass/ecology	Handegard et al. 2024; Preston et al. 2023; Bandara et al. 2022; de Robertis et al. 2021; Dunn et al. 2023; Premus et al. 2022; Levine et al. 2021; Chu et al. 2019; Pedersen et al. 2019; Camus et al. 2018; Mordy et al. 2017; Swart et al. 2016; Goebel et al. 2014; Guihen et al. 2014

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175 **1.2 Towards a permanent, global USV network**

176 The scientific and USV industry communities have appealed for a globally coordinated approach to 177 USV-based ocean monitoring (Clayson et al. 2023; Cronin et al. 2023; Whitt et al. 2020; Cronin et al. 178 2019; Wanninkhof et al. 2019; Centurioni et al. 2019; Meinig et al. 2019; Gille et al. 2016). Adopting a 179 global network approach will transform the patchwork of independent USV projects into an 180 established and trusted capability. As such, a 'USV Network for GOOS' has been established as an 181 endorsed UN Ocean Decade project linked to the Observing Air-Sea Interaction Strategy (OASIS) UN 182 Ocean Decade programme, to serve as a starting point for a permanent global USV network. This 183 initiative aims to evolve the existing USV scientific data collection community into a coordinated entity 184 with clear objectives and priorities, to be endorsed by the GOOS OCG as an emerging network. To 185 achieve this, the USV Network for GOOS will demonstrate the network's progress towards meeting 186 the ten OCG attributes (Figure 2). Below, we discuss each attribute in detail in the context of the 187 existing GOOS OCG networks.

SED		ATTRIBUTE 1. Global in scale: Greater than regional
ROGRE		ATTRIBUTE 2. Observes one or more Essential Ocean Variables or Essential Climate Variables: Contributes to meeting requirements through observing one or more of the GOOS Essential Ocean Variables or GCOS ⁺ Essential Ocean Climate Variables
NOST P	0	ATTRIBUTE 3. Environmental stewardship awareness: Actively develops ideas to minimize environmental footprint and contributes positively towards a healthy ocean
5		ATTRIBUTE 4. Community of practice: Has identified a governance structure that provides a means of developing a multi-year strategy and implementation plan
		ATTRIBUTE 5. Delivers data that are free, open and available in a timely manner: Has a defined data management infrastructure that provides data on a free and unrestricted basis, in real time where possible as well as FAIR-compliant* data services to real time and delayed mode data
	٥	ATTRIBUTE 6. Maintains network mission and targets: A role in the GOOS is defined and progress towards targets can be tracked and progress assessed
Q.		ATTRIBUTE 7. Ensures metadata quality and delivery: Complete platform metadata is submitted to OceanOPS in a timely manner
GRESS		ATTRIBUTE 8. Develops and follows standards and best practices: Make accessible, develop, document, follow and update best practices encompassing the observation lifecycle [#]
ST PRO	\odot	ATTRIBUTE 9. Undertakes capacity development and technology transfer: Development of activities that enable new (developing and disadvantaged communities of ocean observers and supports inclusivity and diversity in its members)
LEA	•	ATTRIBUTE 10. Observations are sustained: Sustained over multiple years, beyond time-span of single research or experimental projects, undertaking routine, systematic and essential ocean observations
+ G al.	ilobal Cl ., 2016),	imate Observing System (<u>https://gcos.wmo.int/en/home</u>), *Findable, accessible, interoperable and reusable (Wilkinson et #Deployment and sampling/SOP/operations, pre-mission preparation (e.g., calibration and validation), data retrieval and formatting, primary quality control and secondary quality control

Figure 2: Progress on the Observations Coordination Group Attributes of the Global Ocean Observing
 System networks. Attributes are listed in order of most progressed (green), progressing (yellow), and
 least progressed (red).

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2. Network purpose and scope

The primary purpose of the global USV network is to expand and complement the existing GOOS observing capability by improving ocean surface monitoring at small spatio-temporal scales unable to be captured by satellites and mooring arrays, whilst monitoring broadly scales of up to tens of thousands of kilometres. This will improve multidisciplinary observations within GOOS that are currently absent or cost prohibitive. The scope of the USV network will include prioritising core oceanographic and atmospheric observations associated with the energy, water, carbon, and life cycles needed to make transformational advances in weather and climate forecasting.

200 The network will complement the existing GOOS OCG networks through: (1) Increased observations 201 of multiple co-located air-sea interaction and biogeochemical variables, including many undersampled Essential Ocean Variables (EOVs) and Essential Climate Variables (ECVs); (2) Expanded 202 203 sampling in dangerous weather and extreme events, including during high winds and variable sea 204 states; (3) Targeted locational sampling to reach under-sampled areas such as high latitudes, remote 205 tropics, continental shelf, and other areas that are cost-prohibitive; (4) Technological Advancement: 206 Fostering a cooperative community to advance USV technology, sharing lessons on sensor integration 207 and interoperability.

208

3. Progress on the OCG attributes

In this section we evaluate the ten attributes of a GOOS network as outlined by the OCG, highlightingcurrent capabilities of the USV community network and where progress is needed.

211 Attribute 1: Global in scale

- 212 Comprehensive global coverage of the open ocean using a range of USV archetypes is already making
- 213 significant contributions to scientific ocean observations. To highlight this proliferation, we produced
- 214 global USV coverage maps (Figure 3) using metadata (longitude, latitude, date, time) contributions
- 215 from USV manufacturers, authors of published USV papers, and this paper's co-authors' professional
- 216 networks. This has resulted in 200 USV datasets collected between 2011 and 2024 (Figure 3), although
- 217 USV manufacturers indicated that significantly more data exist with commercial clients.
- The global coverage maps indicate tremendous potential to fill observational gaps in remote regions of the ocean, such as the Pacific Ocean and higher latitudes (Figure 3 a, b). They also illustrate locations where USVs have not yet been used, such as in the Indian Ocean and South Atlantic. The steady increase in USV adoption over time (Figure 3 c, e) is contrasted by large interannual variability of higher and lower sampling years (Figure 3 e), indicating that USV observations are not yet sustained globally. Currently, about 80% of USV observations are located in the Northern Hemisphere (Figure 3 c). This
- bias may be attributed to the relatively higher acquisition of funding in the Northern Hemisphere
- 225 compared to the south, and large, dedicated process studies, such as the Tropical Pacific Observing
- 226 System (TPOS; Smith et al. 2019), tropical Atlantic hurricane observations, the Salinity Processes in the
- 227 Upper Ocean Regional Study (SPURS-2; SPURS project 2015; Lindstrom et al. 2015) and the Sub-
- 228 Mesoscale Ocean Dynamics Experiment (S-MODE; Farrar et al. 2020).



Figure 3. USV global ocean coverage maps from 2011 to 2024 as tracks (A) and density (B). Latitudetime heat map of count of daily USV observations in 5° latitude bins (C). (D) Cumulated count of USV
observations as a function of latitude. Relative (left vertical axis) and cumulative (right vertical axis)
counts of daily observations per month per 5° latitude (E).

Attribute 2: Observes one or more Essential Ocean Variables and Essential Climate Variables

A unique aspect of USV technology is the ability to simultaneously monitor a significant range of EOVs and ECVs within the air-sea transition zone. Unlike many OCG networks that focus on measuring a small number of EOVs extensively, the USV network will complement the existing GOOS networks by collecting unprecedented co-located variables. This will effectively expand coverage of multidisciplinary studies, physical ocean-atmospheric observations, and physical ocean-ecological and biological observations.

241 Our review of USV literature found that of the 41 unique EOVs (https://goosocean.org/what-we-242 do/framework/essential-ocean-variables/) and ECVs (https://gcos.wmo.int/en/essential-climate-243 variables/table) 28 have been measured using USVs, plus a further five variables not listed as EOVs or 244 ECVs. The maximum number of variables monitored during a single USV deployment was 18, 245 comprising wave height and period (sea state), skin temperature, subsurface temperature, salinity, 246 currents, dissolved oxygen, biomass, ocean sound, atmospheric pressure, longwave and shortwave 247 radiation, air temperature, humidity, wind velocity, seawater and air pCO₂, coloured dissolved organic 248 matter, and chlorophyll-a fluorometry (Zhang et al. 2019a). Sustained high-resolution data collection 249 is possible due to USVs' large payloads, large power capacity aided by renewable energy (e.g. wind 250 and solar), sustained ample computing power, large data storage capacity for high-resolution data, 251 and near real-time data relay typically packaged into 1-minute to 10-minute averages (Hodges et al. 252 2023; Foltz et al. 2022; Reeves Eyre et al. 2023).

253 Air-sea interactions

254 The majority of USV studies in the literature aimed to quantify air-sea exchange of heat, momentum, 255 freshwater, and CO₂ (Table 1). These studies typically measure >8 covariables (Colbo & Weller 2009) 256 of the physical EOVs and ocean ECVs. High-resolution (>10 Hz) observations were collected in the 257 majority of cases, which allowed for calculating turbulent fluxes via direct covariances, which are 258 critical for air-sea interactions. Reducing the influence of the ship microclimate on air-sea boundary 259 layer observations has been an important benefit of collecting EOVs and ECVs with USVs as opposed 260 to ship-based observations. Crewed ships use variable air and sea intakes at 0.5 - 8 m above and below 261 the ocean surface, whereas USV intakes can be varied more easily and are consistently closer to 0.5 -262 5 m above and 0.1 - 7 m below the ocean surface, closer to the interface microlayer that governs fluxes 263 (Drushka et al. 2024; Zhang et al. 2019a). The integration of an electronic precipitation gauge to a USV 264 (Grare et al. 2021) is a promising step towards comprehensive measurements of air-sea interactions, 265 though there are notable limitations to these approaches during high sea states due to interference 266 from sea spray. The advantages of USVs for reaching high-resolution, fine-scale spatio-temporal 267 physical processes and broadscale coverage is well documented (see Table 1).

268 Biological and ecological

269 A promising opportunity lies in the USV uptake for observing instrument-based ecological and 270 biological variables such as eDNA (Preston et al. 2023), primary productivity (Hemsley et al. 2015), 271 zooplankton (Pedersen et al. 2019; Guihen et al. 2014), phytoplankton biomass (Scott et al. 2020), phytoplankton abundance, community structure, and harmful algal blooms (Seegers et al. 2015). 272 273 These are important and under-sampled components of GOOS (Koslow & Couture 2015). The 274 emerging instrument-based, underway net primary productivity measurements, enabled by gas 275 tension devices (Cynar et al. 2022), also offers a promising pathway to expand USV ecological data 276 collection.

277 USVs have been equipped with hydrophones to monitor and track seasonal changes in the 278 distributions of sound producing marine mammals (Moore et al. 2007; Davis et al. 2016; Premus et al. 279 2022), occasionally other ocean life (Pagniello et al. 2019) and anthropogenic sound (Camus et al. 280 2021). Early studies using autonomous vehicles focused on documenting detections of marine 281 animals, while more recent work has shifted toward examining how co-located oceanographic 282 variables relate to observed patterns in presence, absence, or distribution (Baumgartner et al. 2014; 283 Aniceto et al. 2020). These case-studies demonstrate USV capability to monitor co-located physical 284 variables with: biogeochemical, biological and ecological variables. This co-located sampling has the 285 potential to grow interdisciplinary marine studies and may help solve key scientific questions, such as 286 determining the role of physical processes in marine movement behaviour (Hays et al. 2015).

287 **Opportunistic monitoring**

288 The inherent ability of USVs to collect and store multidisciplinary data makes them highly versatile and 289 ideal for opportunistic data collection, which is a major opportunity for the USV network. For example, 290 a 2011 expedition that used four wave gliders yielded data for three different disciplines: surface 291 ocean (Villareal & Wilson 2014), acoustics (biomass; Goebel et al. 2014), and air-sea interactions 292 (tropical cyclone; Lenain & Melville 2014). During a study that was focused on assessing the presence 293 of sea ice using camera footage (Meinig et al. 2015; Chiodi et al. 2021) and another of marine mammal 294 and fish studies (Kuhn et al. 2020; Mordy et al. 2017), high-resolution (>10 Hz) 3-D wind velocity 295 measurements were collected.

Table 2: Essential Ocean and Climate Variables (EOVs/ECVs) observed using USVs since 2010, for
 nine generalised fields of study, derived from a review of scientific literature describing the adoption
 of USVs for open-ocean observing (see Table 1). Greyed variables have not been monitored using
 USVs yet, and duplicate variables have been omitted.

			Fields of Study								
		Essential Ocean and Climate Variables	Fluxes and air- sea interaction	Tropical cyclones and extreme winds, inc. air-sea interactions	Meso and submeso-scale processes	Marginal sea ice	Waves	Oceanic boundary layer	Geodesy	Passive acoustics	Biomass/ ecology
		Sea state	✓	✓	✓		 ✓ 	~		✓	 ✓
		Ocean surface stress					~				
		Sea ice				~					
		Sea surface height							~		
	Physics	Sea surface temperature	~	~	1	~	~	~		~	1
		Subsurface temperature	1	1	1	1	1	1		1	1
		Surface currents									
		Subsurface currents		1			1				
		Sea surface salinity	1	1		1			-	1	1
		Occess surface heat flux	•		•	· ·	•	•		v	· ·
VARIABLES		Ocean surface heat flux	v	v	2 2						
	-	Ocean bottom pressure	1		1		1	1	· ·	1	
		Oxygen	v	v	v	v	v	v		•	v
		Nutrients		1				-			
	_	Inorganic carbon	~	v				v			
	Biogeo-	I ransient tracers									
AN	chemistry	Particulate matter									✓
Э		Nitrous oxide									
2		Stable carbon isotopes									
IAI		Dissolved inorganic carbon								-	
LN I		Phytoplankton biomass and diversity									~
SSE	Biology and Ecosystems	Zooplankton biomass and diversity									~
ш		Fish abundance and distribution	✓							~	~
		Seabird abundance and distribution									
		Marine mammal abundance and								104	
		Distribution	✓							~	
		Hard coral cover and composition									
		Seagrass cover and composition									
		Macroalgal canopy cover and									
		composition									
		Mangrove cover and composition									
	C	Ocean colour	~	~	1	1	\checkmark	\sim		~	~
	Cross- disciplinary	Marine Debris (emerging)									
		Ocean Sound						√			
Ś		Precipitation	×								
E.	Surface	Pressure	1	~	1	~	~	~	~	✓	✓
IAE		Radiation budget	1	 ✓ 	1	~				~	
AR		Temperature (temporal resolution									
>	Atmosphere	and height above surface if known)		1	1	1	1	1		~	1
AT	· · · · · · · · · · · · · · · · · · ·	Water Vapour	1	1	1	~	1	1	-	1	1
Σ		Wind speed and direction		1	1	1	1	1		1	1
G		Aerosols	17.5				45.0	1726			
AL	And the second second	Carbon dioxide methane and other									
Ę	Atmospheric composition	greenhouse gases	1	1		1	1	1			1
SE		Ozone									
ESS		Procursors for perosols and ozono									
				1	./	./					
OTHER		Photosurthetically And Subsurface)		v	v	v					1
	Other	Priorosynthetically Active Radiation		v	v	v					v
	variables	iviagnetic field	×	-							
		Bathymetry	√								
		edna	-								×

300

301 Attribute 3: Environmental stewardship awareness

302 USVs powered by renewable energy have entered the market in recent years due, in part, to the 303 availability of low-cost and innovative battery technology and solar panels. Scientists have largely 304 adopted these USVs, which are a subset of USVs that are inherently sustainable, leveraging a 305 combination of renewable energy sources for propulsion, such as wind and wave power, or battery-306 electric motors charged by solar energy. Instrumentation and electronics are typically powered by 307 solar-charged batteries, enabling USVs to operate in the open ocean for extended periods in the range 308 of multiple months. USVs are typically integrated with large numbers of non-expendable 309 oceanographic and meteorological instrumentation rendering these platforms high-value and 310 therefore non-disposable. Moreover, high frequency 'delayed data' are usually stored onboard, 311 greatly enhancing the value of a safe return to shore. Even after sustaining damage, USVs can be 312 navigated to port for repair (Nickford et al. 2022; Sutton et al. 2021).

313 While the emergence of USVs powered by renewable energy is exciting for a range of sectors, this full 314 reliance on renewable energy sources presents limitations, particularly regarding power availability 315 and speed of propulsion. Studies have highlighted instances where the reliance on solar power alone 316 for propulsion and instrument operation has led to gaps in data collection (Nickford et al. 2024; Chiodi et al. 2021; Levine et al. 2021). For example, an operational project using 20 wave gliders to monitor 317 318 tide levels in Japanese waters, encountered consistent difficulties due to insufficient power (Ino et al. 2020). Other projects have reported USVs with a combination of wind and electric motor propulsion 319 320 unable to fight strong currents (Chu et al. 2019; Tada et al. 2024), limiting their use in these 321 environments. Power limitations can also lead to ambiguity regarding data transmission frequencies, 322 affecting the quality of the data collected and reducing the efficacy of USV adoption. Mitarai & 323 McWilliams (2016) expected real-time high frequency (20 Hz) data return, however, received data at 324 10-minute intervals in real-time and the high-frequency data once the platform had returned to port. 325 A marginal sea ice study in the higher latitudes required cameras to be turned off during periods of 326 low power (Chiodi et al. 2021), or reduced power duty cycles of a mini echosounder survey to as low 327 as 25% as day time grew shorter with the changing season (de Robertis et al. 2019; Levine et al. 2021). 328 The latter would also apply when cloudiness is persistent and there are larger solar angles. While 329 relying solely on renewable energy sources in some environments introduces limitations, USV 330 manufacturers are integrating hybrid fuel capabilities to extend operational capability and improve 331 reliability for wintertime, high latitudes, during periods of high cloud cover, and in locations where 332 there are strong currents. These integrations will be critical to allow the USV network to maintain 333 environmental stewardship whilst also meeting network targets to operate in these challenging 334 operational environments.

335 As with any in situ surface ocean data collection, biofouling is one of the limiting factors for USV 336 deployment durations - a universal issue also affecting other platforms such as surface buoys and 337 drifters. While adding anti-fouling to the instruments, sensors and the hull is one obvious solution, 338 these typically contain copper. This can affect inductive salinity measurements if too close to the 339 sensor (Johnson & Fassbender, 2023), so care must be taken to ensure an adequate sensor placement 340 distance from the antifouling during the instrument integration process.. Other anti-fouling options 341 such as wipers and UV lights are theoretically more practical on a USV than on, say, a surface buoy or 342 drifter because of the USVs larger power payloads (Ryan et al. 2020). One significant advantage of 343 USVs is that they are recovered after missions, so all their sensors can be post-calibrated, unlike some 344 of the expendable observing platforms. Comparing USV data with other platforms such as moored 345 surface data at varying degrees of biofouling also provides an opportunity for better understanding 346 the impact of biofouling on sensor data in general, following examples comparing fouled with un-347 fouled wave buoys (Thomson et al. 2015). Depending on where the USV is operating (e.g. warm, 348 tropical environments versus higher latitudes), biofouling can present significant limitations on the 349 duration of the platform deployment. Major benefits of the USV technology is that the data can be 350 monitored in real-time, underwater cameras, if available, can be placed to monitor biofouling, and 351 USVs can self-retrieve, or be swapped out with a recently serviced USV when biofouling (or indeed 352 power or calibration limitations) becomes an issue.

353 Attribute 4: Community of Practice

A governance framework will set the network's community of practice to drive implementation, development and long-term sustainment. A core steering committee comprising three leadership committees will lead the network. Each leadership committee will be made up of stakeholders across what we consider are the three crucial aspects to delivering ocean data using USVs: science, data 358 management, and public-private partnerships. This multidisciplinary stakeholder-led governance 359 structure will ensure that the network's potential is fully realised and remains aligned with 360 contemporary needs, including the recruitment and support of Early Career Ocean Professionals 361 (ECOP) and ensuring the barriers to Justice, Inequality, Diversity, and Inclusion (JEDI) are broken (Johri 362 et al. 2021). As such, open calls for participation, also following CARE principles (Collective benefit, 363 Authority to control, Responsibility and Ethics; Carroll et al. 2020), will aid inclusive participation by 364 individuals in under-represented regions and developing nations. A governance structure will be 365 adopted that aligns with these principles and allows for equitable representation of the diverse 366 stakeholders. If endorsed by GOOS OCG as an official emerging network, the USV network will benefit 367 from OCG-facilitated discussions and opportunities aligned with these principles, and opportunity-368 sharing between the other OCG networks. USV network leadership committees will be required to 369 provide transparency in decision-making and communications, and be required to declare conflicts of 370 interest, especially when working with private companies. Guidance in implementing measures to 371 maintain these standards will be drawn from other OCG networks.

372 To date, the network committee comprises the co-authors of this paper, and meets intermittently to 373 share news, updates, ideas, and work on collaborative funding proposals. These meetings comprise a 374 combination of recorded webinars (available at https://airseaobs.org/resources/usv-for-goos-375 webinar) and meetings to work on network activities and discuss funding opportunities to propel the 376 network. A core committee will be formed within 12 months of this paper being published, and the 377 steering committee will organise regular committee meetings to undertake tasks such as setting 378 priorities for the network goals and activities, and developing funding pitches to work towards 379 meeting the ten OCG attributes. Inter-sessional activities will be workshopped on relevant aspects of 380 the network related to the ten attributes. The core steering committee will report annually to the 381 GOOS OCG committee and provide input into GOOS OCG activities, and liaise with other relevant 382 communities, such as OceanOPS (https://www.ocean-ops.org/), the Ocean Best Practices System 383 (OBPS; https://www.oceanbestpractices.org/) and other OCG networks.

384 Attribute 5: Delivers data that are free, open, and available in a timely manner

385 Data distribution is a major challenge for the USV network due to nuances that are unique to the USV 386 network, including the multiple and diverse platform types, manufacturers, and the multitude of USV 387 data delivery mechanisms and options; USV data delivery needs to be considered differently from 388 other networks. One aspect of data delivery stems from USVs' unique capability to persistently relay 389 Near Real-Time (NRT) data (e.g. roughly every 10 minutes) as well as very high-resolution Delayed 390 Mode (DM) data (Figure 4). NRT data transmission configuration depends on the USV satellite 391 communication subscription (e.g., bandwidth and data) which currently varies with USV make, model, 392 and operators. Alongside this, the complexity of the Global Telecommunication System (GTS) and the 393 transmission procedures for different data types (e.g., biological and ecological data) constrain data 394 uploads to the GTS. It is possible for the USV network to borrow and adapt standards of existing 395 networks to make it easier for USV end-users to make their data publicly available. For example, the 396 Ships Observations Team (SOT) has recently formed a task team for Enhancement of Independent 397 Class Observations (TT-EICO). This task team is designed to support the development and maintenance 398 of new pilot projects to include gathering of data and metadata, and their quality control, from vessels 399 where the information is not yet made available on the GTS (pers comm. Shawn Smith and Darin 400 Figurskey). USV data handling and transmission will need to be considered independently of 401 OceanGliders, drifters, and Argo platforms because of the large data storage payloads and their 402 constant connection to satellites at the surface. For NRT transmission, the World Meteorological 403 Organisation (WMO) GTS uses the BUFR (Binary Universal Form for the Representation of 404 meteorological and oceanographic data) format and an existing template was developed to 405 specifically support USV NRT data exchange. This template has been used over the last several years 406 to exchange USV data on the GTS and is a strong starting point for implementation across the USV 407 network. In this context, the GTS is currently evolving the WMO Information System (WIS; 408 https://community.wmo.int/en/activity-areas/wis) so USV data infrastructure will be planned to meet 409 the requirements of the WIS 2.0 as it replaces the GTS. The USV network is in an ideal position to lead 410 the development of appropriate data and metadata formats, which will be made available online in 411 the form of templates to the scientific community, USV manufacturers, and private USV users should 412 they wish to make their data publicly available. The network will offer expertise and guidance to 413 ensure data is disseminated according to the GOOS OCG attributes.



- 414 415
- 416 *Figure 4:* A proposed data flow diagram for ensuring quality and timely delivery of data to the global
 417 community.

418 The USV network is committed to promoting FAIR, CARE and TRUST principles, defined here: FAIR 419 principles (Findable, Accessible, Interoperable, Reusable; Wilkinson et al. 2016; Tanhua et al. 2019) 420 list the characteristics that facilitate data exchange; the TRUST principles (Transparency, 421 Responsibility, User focus, Sustainability and Technology; Lin et al. 2020) focus on defining the criteria 422 for best practices in digital preservation by repositories, and the CARE principles are people and 423 purpose oriented, ensuring that Indigenous innovations and self determination are not ignored, thus 424 decreasing the power differentials and historical contexts. Communicating these data-norms and 425 expectations is an important aspect of developing the community of practice. These principles will be

426 communicated to the public and network as part of the USV community of practice (on a USV network
427 website, which is currently being developed) so that data contributors are aware of the principles
428 under which the network and associated data distribution operates.

429 The USV network will develop data processing strategies and best practices by harnessing community-430 agreed protocols and taking advantage of existing efforts and data distribution models from other 431 GOOS networks and data delivery quality standards. As USVs are new technology, the network has a 432 collective responsibility to ensure that appropriate quality controls on data processing and delivery 433 are met. While these processes are not yet fully formed, we will draw from the learnings of other OCG 434 networks introducing new technologies. For example, open source code will be encouraged in a similar 435 fashion to that of the OceanGlider community (https://github.com/OceanGlidersCommunity) to 436 promote open source code development at the community level. This coordinated approach will 437 ensure methodologies and standard operating procedures will be developed amongst the USV 438 community to avoid duplicitous efforts, siloed practices, and provide products that will benefit 439 scientists and USV manufacturers alike. Key QARTOD (Quality Assurance/Quality Control of Real-Time 440 Oceanographic Data) principles, such as quality descriptors and detailed quality flags will be developed 441 following industry-standard codes and manuals (Bushnell et al. 2017; 442 https://github.com/ioos/ioos gc). A centralised system to curate and distribute data for stakeholders 443 is essential for managing and disseminating data. For example, CUBEnet (http://oceancube.usm.edu/) 444 has been able to streamline data access for stakeholders and enhance the utility of oceanographic 445 data and address science-based questions in the Gulf of Mexico. By integrating these best practices 446 and leveraging the experiences from the established systems and best practices discussed above, the 447 USV network will develop a cohesive and efficient data management solution for the USV user 448 community.

449 Attribute 6: Maintains network mission and targets

Each OCG network has a role in GOOS, and its progress towards its specific mission and targets must be tracked. The USV network defines its unique contribution to GOOS as its ability to observe multiple EOVs and ECVs at fine spatio-temporal scales, whilst monitoring broadly at scales of up to tens of thousands of kilometres from seconds to months. The USV network targets remote and difficult to access locational and disciplinary environments.

455 Recognizing that air-sea fluxes are concentrated near fronts, Cronin et al. (2019) proposed that a global network of approximately 1000 platforms deployed as pairs or clusters within 10° by 10° boxes 456 457 may be a reasonable target (368 boxes would cover the global ocean), playing a similar role to the 458 Argo network target of one float profile per 3° by 3° box every 10 days (Roemmich et al. 2019) or the 459 drifter network target of one drifter per 5° by 5° box (Centurioni 2018). Alternatively, the USV network 460 may want a target of having repeat transects that can capture the annual mean air-sea fluxes, enabling 461 national and international stocktakes, such as called for by the 2015 Paris Accord (Wanninkhof et al. 462 2019). Such repeat transects could be referred to as GO-USV transects, as their targets are similar to 463 those of the GO-SHIP network, albeit with a focus on air-sea interaction at more rapid timescales than 464 the GO-SHIP focus on full water column variability over decadal timescales. If these transects were 465 made as a USV cluster, the transect could act like a 'mobile meso-net', capturing the multi-scale 466 variability of convective systems that drive much of the air-sea interactions. An example of this type 467 of sampling is being tested in TPOS, where near-annual missions with 2-4 USVs sampling cold pools 468 and convective mesoscale systems as USVs transect through the Inter-tropical convergence zone, and

- then sample submesoscale SST fronts as they travel into or out of the cold tongue of surface water on
- the equator (Cronin et al. 2024; Cronin et al. 2023; Wills et al. 2023). Once demonstrated, the 'mobile
- 471 cluster' or 'force-multiplier' approach to ocean data collection could be transformative to climate and
- 472 weather science.
- 473 At minimum, the USV targets should track the attributes discussed in this section, including metrics
- 474 related to coverage, number of EOVs and ECVs, applications addressed, and data sets. Defining targets
- 475 and gaps for future goals requires active community discussion and in some part is likely to be
- 476 regionally dependent, and progress over time.

477 Attribute 7: Ensures metadata quality and delivery

- 478 Delivery of quality NRT or DM metadata is an essential aspect of a coordinated, global USV network.
 479 To date, the existing USV community has been independently managing data and metadata associated
- 480 with individual projects; some data being stored privately, and other data being made freely available
- 481 in online catalogues, such as the National Centers for Environmental Information (NCEI;
- 482 <u>https://www.ncei.noaa.gov/</u>), Zenodo (<u>https://zenodo.org/</u>), European Marine Observation and Data
 483 Network (EMODnet; <u>https://emodnet.ec.europa.eu/en</u>), and USV manufacturers websites
 484 (https://emodnet.ec.europa.eu/en), and USV manufacturers websites
- 484 (https://www.saildrone.com/technology/data-sets).
- The USV community recognises two key opportunities for delivering quality data and metadata in a frictionless way to global end users as outlined by the GOOS OCG (https://goosocean.org/who-weare/observations-coordination-group/data-management/). These opportunities are to develop: (1) A central repository for global USV data that follows FAIR, TRUST, and CARE principles, and can be accessed from anywhere in the world (discussed further in "Towards an open USV community; immediate needs and conclusion" section); and (2) Standardisation of USV data and metadata, including various formats for NRT and DM, and for data storage and distribution, discussed below.
- 492 A major requirement for metadata quality and delivery is the development and adherence to 493 standards. While there are currently no formal standards for USV metadata collection, the National 494 Oceanic and Atmospheric Administration (NOAA) is working towards developing a data and metadata 495 template for USVs (https://www.ncei.noaa.gov/products/uncrewed-system-metadata-templates) 496 that, alongside parallel efforts in other nations and agencies, and across different manufacturers, 497 could be harmonized to form standard templates for the network. The USV network will play a major 498 role in establishing open communication lines between industry and scientists to help with the co-499 development of proposed standards. While reviewing literature for this paper, we found that USV 500 data streams regularly omit important metadata, such as the distance from a sensor to the water level. 501 Changes in the location of the sensor in relation to the water line or USV centre of gravity can alter 502 the way the data should be interpreted. There are opportunities for the network to focus on standard
- 503 metadata content and data formats for use across the community.

504 Attribute 8: Develops and follows standards and best practices

505 Best practices extend from standardising metadata collection (discussed in Attribute 7) to data 506 collection methodologies from an ecosystem of USV archetypes, and approaches to industry data 507 collection. Adopting standards across a USV network that encompasses industry- and science-508 operated vehicles and sensors is particularly important for building trust with future scientific and 509 industry end users, including GOOS regional alliances, OCG networks, and industry (Parks et al. 2024). 510 A significant aspect that is continually identified within the scientific USV end-user community has 511 been the lack of certainty around data collected from a non-spherical, propelled platform. An 512 important, and typically overlooked practice is to perform intercomparison studies to describe and 513 account for uncertainties in the powered motion of the USV and the hydrodynamic responses of the 514 hull, which differ compared to a moored spherical buoy, and may be specific to certain variables. This 515 is particularly important for the measurement of wave spectra, which is an under-sampled ocean

- variable but a key variable for calculating air-sea fluxes (Thomson & Girton 2017; Amador et al. 2023,
- 517 Colosi et al 2023).

518 Intercomparisons between USVs and established monitoring platforms of known precision and 519 uncertainty, under a range of different conditions are a fundamental process for the trusted adoption 520 of USVs by the scientific community. However, there are challenges associated with these 521 intercomparisons, especially in remote locations, extreme conditions, and/or regions of high natural 522 variability (Sabine et al. 2020; Zhang et al. 2019a). Moored buoys are likely the most useful for this 523 purpose, as they remain in fixed locations and provide standard near-surface ocean and atmospheric 524 measurements.

525 The USV community would benefit from a standardised USV intercomparisons methodology, and a 526 common database of data intercomparisons across a wide range of ocean-atmosphere conditions and 527 USV platform archetypes to determine strengths and weaknesses of different USV platforms and gain 528 confidence in the use of the data for scientific analysis and data assimilation. The USV network will, in 529 collaboration with manufacturers, develop standards for data processing and data quality control (QC) 530 of the platforms and instruments, with the aim of ensuring that data published according to these 531 guidance and standards are of the highest quality and can be used for multiple applications. An 532 assessment of existing standards and recommended practices will be done and where applicable 533 expanded. In order to ensure knowledge sharing and community uptake, the outcomes of this work will be published in OBPS (https://www.oceanbestpractices.org/) and maintained by the network. 534 535 Developing standards and best practices will be an opportunity to work with USV manufacturers to 536 ensure that the scientific needs of USVs for certain applications and environments are made available.

537 Attribute 9: Undertakes Capacity development and technology transfer

538 An important aspect of the USV network is its relative advantage in reaching remote and under-539 sampled locations, which aligns with the GOOS mission to promote feasible, high-impact observing 540 programs. These regions often lack the resources to deploy traditional observatories, and USVs 541 present a compelling option for extending coverage to the archipelagos of southeast Asia, central 542 America, and the tropical Pacific and Indian Oceans. The existing data (Figure 3) show notable 543 coverage in very remote locations, including prolific adoption in the tropical Pacific, and we can see 544 that isolated USV deployments occur in other remote and under-sampled locations, such as Australia's 545 northern regions (the Timor and Arafura Seas), central America's archipelagos, northern parts of South 546 America, and the western African coastline, associated with voyages out of the Canary Islands. 547 Notably, no USV tracks are available in the Indian Ocean, which may present an opportunity to extend 548 multidisciplinary and interdisciplinary co-located observations for the Indian Ocean Observing System 549 (IndOOS), as strongly noted in the 2019 IndOOS roadmap (Beal et al. 2019; Hermes et al. 2019; Beal 550 et al. 2020).

551 There are substantial opportunities for USV capacity development via comprehensive training and 552 capacity-building programs aimed at developing the technical skills in oceanographic data collection 553 and management (McKenna et al. 2023). These programs are designed to cover various aspects of 554 USV operation, data acquisition, data processing, and interpretation using advanced analytical tools 555 to better position a changing workforce as well as specifically target a young audience in pursuit of

556 ocean science education and employment. USV technological adoption empowers maritime

557 professionals in enhancing operational capabilities and data handling proficiency as well as provides 558 an on-ramp for educational, and vocational programs.

559 Attribute 10: Observations are sustained

560 A sustained USV network will potentially be one of the greatest challenges, and a key component to 561 sustainment will be a steady finance stream. Historically and in the near future, the existing missions 562 have and will be individually funded through 2-5 year research grants. However, there is often synergy 563 between intensive process studies (discussed in Attribute 1) and long-term monitoring that can 564 provide seasonal-to-interannual cost recovery, which could help support a sustained USV network. 565 Another strategy to obtain sustained observations might be to take advantage of transits from future 566 USV service stations, particularly ones located in the global south. Ultimately through economies of 567 scale, we can expect that a threshold will be reached where it is more economical to plan as a 568 sustained observing network rather than as one-off missions. For example, USVs could be recovered 569 and redeployed by refurbishing the platform and integrating fresh sensors, similar to how moorings 570 are turned around in sustained long-term mooring networks.

571 In the context of meeting this attribute, the network is more likely to be sustained if the other 572 attributes are met: data management, a community of practice, data and metadata standards and 573 best practices, governance structure and the setting and delivery of network targets and metrics will 574 all drive and ensure a sustained and burgeoning network.

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4. USVs' complementary role in GOOS

577 As a network focused on air-sea interactions, the USV network will provide a critical and currently 578 unmet capability within GOOS, complementing existing networks by providing high-resolution surface 579 ocean and lower atmosphere observations over broad regions (Figure 5). Essentially, scientific USVs 580 play a major role in measuring air-sea interactions during extreme conditions and capturing 581 submesoscale processes dynamically, contributing multiple variables to valuable process-scale 582 information for enhancing weather and climate research at previously unmet spatial and temporal 583 scales.

584 USVs have already provided complementary coverage and enhanced both the extent and variety of 585 data collected as an integrated capability within existing GOOS OCG networks. For example, USVs have 586 been paired with OceanGlider deployments, providing simultaneous surface ocean and lower 587 atmospheric observations, adding critical surface observations to the collection of interior ocean 588 observations (Nicholson et al. 2022; Kosaka et al. 2023; Zhang et al. 2023a). In some instances, USVs 589 have been especially augmented to function as motherships for transport and release of OceanGliders 590 (Siddle et al. 2021). Like the OceanGlider network, USVs can complement OceanSITES network time 591 series by providing spatial gradient information needed for evaluating advective processes, and in this 592 way enable closure of budget analyses governing variability at the time series stations (e.g. Fassbender 593 et al. 2016). USVs have been deployed as GO-USV repeat transects that could complement the GO-594 SHIP transects, as discussed in Attribute 6. USV-observed CO₂ fluxes will undoubtedly be an important 595 component of the emerging SOCONET, supporting SOCONET's mission to provide global ocean CO₂ 596 uptake information for annual national assessments and 5-year global stocktakes (Wanninkhof et al. 597 2019). Any network, such as SOCONET, that is defined by an EOV, will be reliant upon platform 598 networks like the USV network, to make these measurements. A USV network will invariably make 599 overlapping measurements with other platform networks, however these will likely have different 600 spatial and temporal scales and provide an opportunity for understanding differences in data outputs 601 between different platforms.

602 USVs offer a valuable complementary service to enhance satellite observations due to their high 603 temporal resolution. For example, most wind-measuring satellites use sun synchronous orbits, 604 meaning that they obtain measurements at best every 12 hours, along a swath with a width typically 605 between 500 and 1500 km, depending on satellite specifications. USVs can provide valuable high-606 resolution data of satellite-inferred variables such as wind speed and direction, sea surface 607 temperature, and salinity measurements. Satellites interpreted in concert with USV measurements 608 offer a means to evaluate variability over spatio-temporal scales that would be inaccessible from 609 satellites or USVs alone. USV data are already being used for satellite data validation (Ricciardulli et al. 610 2022, Yu et al. 2023). As the USV network grows and the data are more widely trusted and available 611 in appropriate formats, we expect that USV data will be used more extensively to support satellite 612 validation and calibration, consistent with the current usage of surface drifting and moored buoy data. 613 Through webinars and workshops, OASIS sustains communication within the satellite air-sea flux 614 community and supports links with the USV network. Continued interactions between the satellite 615 and USV communities are necessary and will benefit both groups. For example, wind observations from a NOAA-Saildrone USV are being used to validate Synthetic Aperture Radar (SAR) winds in 616 Atlantic hurricanes, while SAR data also provide a consistency check for USVs. Future satellite concepts 617 618 offer the possibility of obtaining targeted measurements of air-sea fluxes. For example, air-sea 619 turbulent heat fluxes could be inferred using bulk parameterizations by measuring near surface 620 temperature and humidity as well as wind speed and sea surface temperature (Gentemann et al. 621 2020b). Air-sea momentum exchange could be inferred using a Doppler scatterometer to measure 622 winds and surface currents simultaneously (Rodriguez et al. 2019), as has been done from aircraft 623 during S-MODE (Farrar et al. 2020). All of these concepts will rely on *in-situ* surface measurements 624 (e.g. from USVs) to support calibration and validation.

625 Building on their proven capabilities, the USV network has the potential to drive further 626 complementary services and facilitate unrealized integrations within other GOOS OCG networks. As 627 numerical weather prediction models begin to incorporate true coupled ocean-atmosphere 628 assimilation schemes, USV and other platforms that measure coincident ocean and atmosphere 629 variables will become ever more critical (Penny et al. 2019). Opportunistic data collection for non-630 scientific USV missions has the potential to become a realised component of the Volunteer Observing 631 Ships (VOS) and Ships of Opportunity Programme (SOOP), and would require these volunteers to use 632 the network data and metadata standards, and agree to the data principles outlined in Attribute 5.

633 GOOS regional alliances have also recognised the value and growing USV adoption. The GROOM II 634 project focuses on fostering USV operations, providing 11 European countries and 20 member 635 organisations with information and community resources in operationalising USVs. The USV network 636 will work collaboratively with these and other existing regional networks to foster and build the global 637 USV network. Other under-sampled regional alliances, such as the Southern Ocean, stand to benefit 638 significantly from the USV network, which may be supported under the regional, community-driven 639 Southern Ocean Observing System (SOOS), to address the environmental constraints of ocean 640 observing in such a difficult environment.



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642 Figure 5: Uncrewed Surface Vehicles' complementary role in the GOOS networks, covering small-

643 scale processes for up to 12 months and spanning oceans, adapted from

644 <u>https://goosocean.org/document/17466</u>. Asterisked networks represent those which sample the 645 interior ocean.

5. Proposed USV network Terms of Reference

This paper is a first step in gathering a community of interested individuals which can help set the
foundations for a coordinated and collaborative global network. The following terms of reference,
which may be adapted after establishing the steering committee, are proposed.

- Develop and implement a global network for air-sea interaction observations through focusing on sampling the following core EOVs/ECVs: air temperature, air pressure, humidity, skin temperature, sea surface temperature and salinity, current profiles, wind speed and direction, radiation (long-wave/short-wave), atmospheric pressure, seawater and air pCO₂, dissolved oxygen, and chlorophyll. Focus on stand-alone USV missions in the network, and the integration of USVs within other OCG networks such as GO-USVs, USV VOS/SOOP, USV-OceanGlider pairings, and USVs in SOCONET.
- 657 Develop an implementation plan for the coordinated collection of biological and ecological data using USVs.
- 659 Coordinate delivery of NRT data to the GTS and quality-controlled data to a network of global data centres.
- Develop and systematically review data collection best practices, working with the OBPS and tools to reduce duplication of effort by learning from other networks.
- Work with the wider scientific community to develop standardised methods for performing
 intercomparisons and calculating derived variables, such as surface wave height spectra.

- 665 Coordinate and exchange information with GOOS OCG on scientific and technical issues and
 666 to optimise the overall capability of GOOS.
- 667 Collaborate with the USV manufacturing industry in a two-way dialogue to develop
 668 appropriate practices for sampling and data QC.
 - Ensure FAIR, TRUST, CARE data practices, and JEDI principles across network governance structure.
 - Promote coordination and partnerships with other ocean observing networks.
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6. Network Challenges and Practicalities

The wide range of unique USV platforms offers both advantages and challenges for global coverage and providing reliable and quality data. Diverse USV platform types will build resilience in sustaining GOOS observations, as was demonstrated by autonomous platforms during the COVID pandemic (Boyer et al. 2023), however the non-uniform platform shapes, sizes, and movement characteristics introduce complexity and challenges for developing standards and best practices.

680 Diversity in USV size and shape format will help improve the challenges that arise from the reliance 681 on solar power alone for power generation (discussed in Attribute 3). This reliance is a recognised 682 challenge across manufacturers and end-users. Technology accelerators and philanthropic 683 organisations promoting sustainable marine technology solutions are funding opportunities for novel 684 power generation alternatives to solar, such as wave- and hydro- generators or repackaged wind 685 generators which would be considered for polar-adapted USVs. Although these solutions are in 686 development, there is promising collective global momentum towards problem-solving. In the 687 meantime, USV operators will require the tools, training and experience to work within the limitations 688 of the technology and the environment. Given USVs are highly mobile and manoeuvrable, USV 689 schedules (much like ship schedules) can be coordinated to ensure that operational failures due to 690 low energy do not occur.

691 Widespread adoption of USVs for ocean science will be shaped by several practical considerations. 692 Public-private partnerships will play a crucial role in this landscape, and the USV network will facilitate 693 collaboration between scientific institutions and USV manufacturers. These partnerships can bridge 694 gaps between scientific needs and commercial capabilities, potentially through dedicated science-695 business liaisons who understand both USV platforms and scientific methodologies. However, the 696 diversity in USV platforms and the business models of their manufacturers presents challenges, with 697 primary business models, owner-operator, product-as-a-service, and leases, each presenting unique 698 challenges and benefits, influencing operational decision-making, data flow, and cost structures.

699 Regulatory compliance remains a complex issue due to the absence of standardised international 700 regulations for USVs, which tend to come under the banner of 'uncrewed maritime vehicles', and 701 include underwater uncrewed vehicles. The 1982 United Nations Convention on the Law of the Sea 702 (UNCLOS) did not anticipate modern uncrewed technologies and this has led to a fragmented 703 regulatory landscape. The classification of USVs as "ships" or "not-ships" significantly impacts their 704 operational freedoms and restrictions. On the high seas, USVs classified as 'ships' enjoy the freedom 705 of navigation as would any other ship. The definition of a USV as a 'ship' or 'non-ship' is governed by 706 each individual nation (UNCLOS, Art. 91); there is currently no unified global approach for legal status 707 of uncrewed vehicles.

708 In the context of sustained, long-term marine scientific research at a global scale (i.e. a focus of the 709 GOOS), UNCLOS provides Part XIII Marine Scientific Research (MSR). Part XIII establishes rules to grant 710 consent for MSR to be undertaken and to promote altruistic values such as: the obligations of 711 international states and organisations to promote cooperation; favourable conditions for integrating 712 the efforts of scientists conducting MSR; and data and knowledge needing to be shared and 713 disseminated for the collective good of mankind. However, for the existing GOOS networks, which 714 includes autonomous platforms such as OceanGliders and Argo floats, there are notable concerns and 715 challenges about the practicalities of coordinating the observation networks and attaining MSR 716 clearances, such as in disputed territorial waters, and within practical timelines for planning voyages 717 and deployments (GOOS 2021). Sovereign security is a major area of concern for coastal states and 718 can substantially delay or prevent MSR clearances. A global USV network endorsed by GOOS will 719 operate under UNCLOS Part XIII, like other GOOS networks (GOOS 2021). While UNCLOS convention 720 allows 'the right of innocent passage' through areas of national jurisdiction (UNCLOS, Art. 17), in reality 721 the presence of an uncrewed system in foreign waters typically results in disputes (Chang et al. 2024), 722 since many USVs are associated (or suspected to be) with military operations due to their dual use 723 capabilities. Ultimately, the navigation of USVs undertaking MSR in areas of national jurisdiction falls 724 under the jurisdiction of the coastal state, and USV users must obtain prior approval to operate within 725 the jurisdiction of the coastal state (UNCLOS). This means that globally-roaming scientific USVs 726 operating inside EEZs will be best operated in partnership with local collaborators who can navigate 727 local governance structures and appeal to sovereign legal maritime authorities.

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7. Towards an open USV community; immediate needs and conclusion

729 Growing observing capability using USVs, through new sensor integrations, prolonged endurance, 730 improved manoeuvrability, unprecedented co-variable data collection capability, developing 731 appropriate standards, and fine-scale and real-time data delivery will endure as a result of a 732 coordinated and inclusive community. New developments in profiling capability such as towed and 733 winched instruments, and the emergence of instruments designed specifically for USVs, is already 734 resulting in enhanced environmental measurements. These include rapidly evolving structures 735 connected to the physical and biogeochemical air-sea fluxes such as fronts, mixing, biomass 736 patchiness, and lower marine boundary layer from winds bursts to weather. USVs are also beginning 737 to make direct eddy covariance flux measurements (Reeves Eyre et al. 2023), which will result in better 738 constraints of bulk formula methods and greatly expand direct observations spatially and temporally. 739 Perhaps the most promising and regularly promoted aspect of USVs that have yet to be implemented 740 at scale in science is the concept of force multipliers to measure a 'pseudo-synoptic' view of rapidly 741 developing phenomena (Nickford et al. 2022; Nicholson et al. 2022; Toolsee et al. 2024), such as ocean 742 fronts, tropical cyclones, marine heatwaves, or phytoplankton blooms. In other words, a few USVs 743 working together can provide unprecedented 3-D or even 4-D perspectives of the ocean or 744 atmosphere during an experiment.

New data science techniques are allowing us to integrate USV observations with various types of model simulations, such as weather forecast operational models, to high-resolution coupled model simulations, in order to understand and upscale the impact that fine-scale processes have on our weather, climate, and ecosystems (Swart et al. 2023). In general, there are several ways USV data can be applied to numerical modeling. First, USV data provide a ground truth to validate numerical products (reanalysis, simulations, and forecasts). Second, knowledge gained from USV data would 751 help improve numerical model parameterizations (e.g., improved drag coefficient parameterization 752 under hurricane wind conditions). Third, when USV data are available to operational forecast centers 753 in real time via GTS, their data assimilation systems can inject USV data into their forecast initialization 754 procedures. To enable this capability, the USV network and its nascent community has an important 755 role to play in the development of agreed standards and best practices, guided and facilitated by 756 OBPS, and digital infrastructure to globally disseminate USV data according to FAIR, CARE, and TRUST 757 principles, and promote JEDI principles across the governance structure. Meeting these needs will 758 facilitate data integration into ocean models and broader adoption by the research community and 759 other users. This community-driven approach that supports scientists and manufacturers in sharing 760 experiences, challenges, and solutions is much-needed across the USV end-user community. Every individual that was approached to join the network in writing this manuscript willingly provided data 761 762 (published and unpublished) and intellectual contributions, demonstrating the collective drive and 763 need for the network globally. These cohesive and altruistic characteristics of the network community 764 aligns well with the prescribed UNESCO-oriented OCG attributes.

765 In the short-term, some administrative USV network costs have been provided in-kind by OASIS as an 766 affiliated UN Decade of Ocean Science project. The network is actively pursuing government grants to 767 support operational activities such as website development, data management services and 768 administrative support. A formal endorsement as an emerging GOOS OCG network will increase 769 network visibility and facilitate participation in international collaborations, research programs and 770 regional alliances that pool resources and funding for ocean observing programs involving USVs. The 771 network is pursuing international collaborative research grants to work on key and immediate needs, 772 such as much-needed intercomparisons and the development of standards and best practices 773 guidelines. These efforts are coordinated amongst the network participants, and updates are provided 774 and knowledge shared in regular network meetings. In the medium to long term the network will 775 require more sustained funding streams. Given the novelty of this technology, the USV network will 776 pursue engagement with non-profit organisations, technology organisations (such as the Marine 777 Technology Society) and pursue philanthropic funding. This may require the network to adapt to an 778 organisational structure that is formally 'not for profit', which may also open doors to other end-users. 779 The USV network has the potential to promote the value of data, technology and the private sector in 780 ocean observing with shared interests in both the altruistic and commercial benefits of a global USV 781 network.

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8. Author Contributions

RP, MFC, SS, and JBP, led the writing and overall content of the manuscript. JE compiled the data and
created Figure 3, RP created Figures 1, 2, 5 and Table 2, RP and KO created Figure 4. USV meta data
were provided by S-AN, JBP, SS, MdP, MFC, DZ, SN, LL, IU, JM, LG, LC, JE, AS, LH, DP, MH, JT, AN, NT,
NK, CZ, CK, CM, ES, SM, MF, LP, NR, VR. All authors contributed to different sections, to manuscript
revision, and have read and approved the submitted version. The manuscript structure and content
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Discipline	Field of Study	Peer reviewed publications using USV datasets
Physical Ocean and Atmosphere	Fluxes and air-sea interactions	*Toolsee et al. 2024; *Nickford et al. 2024; Sivam et al. 2024; Reeves Eyre et al. 2023; Iyer et al. 2022; Nagano et al. 2022a; *Nicholson et al. 2022; *Nickford et al. 2022; *Zhang et al. 2022; Grare et al. 2021; Siddle et al. 2021; *Sutton et al. 2021; Zhang et al. 2019a; *Monteiro et al. 2015; Edholm et al. in prep.
*Includes air- sea CO ₂ flux calculations	Tropical cyclone and extreme winds, including air-sea interactions	Chiodi et al. 2024; Kosaka et al. 2023; Yu et al. 2023; Zhang et al. 2023a; Zhang et al. 2023b; Foltz et al. 2022; Miles et al. 2021; Mitarai & McWilliams 2016; Lenain & Melville 2014
	Meso and submeso- scale processes	Bhuyan et al. in review; Cronin et al. 2024; Chi et al. 2023; Hodges et al., 2023; Swart et al., 2023; Wills et al. 2023; du Plessis et al. 2022; Nagano et al. 2022b; Wullenweber et al. 2022; Wills et al. 2021; Gentemann et al. 2020b; Nagano & Ando, 2020; Swart et al. 2020; Vazquez-Cuervo et al. 2019; Zhang et al. 2019b; Krug et al. 2017
	Marginal sea ice	Drushka et al. 2024; Crews et al. 2022; Chiodi et al. 2021; Zhou et al. 2021; Meinig et al. 2015; Cokelet et al. 2015; Wood et al. 2013
	Waves	Amador et al. 2023; Colosi et al. 2023; Thomson et al. 2018; Hole et al. 2016; Smith & Thomson 2016
	Oceanic boundary layer	Jia & Minnett, 2023; Jia et al. 2023; Zeiden et al 2023; Edholm et al. 2022; Scott et al. 2020; Schmidt et al. 2017; Ghani et al. 2014; Villareal & Wilson 2014; Daniel et al. 2011; Mullison et al. 2011
	Geodesy	linuma et al. 2021; Ino et al. 2021; Sakic et al. 2021; Foster et al. 2020; Penna et al. 2018; Berger 2016
Biology and ecology	Passive acoustics	Camus et al. 2021; de Robertis et al. 2019; Pagniello et al. 2019; Crance et al. 2016; Davis et al. 2016; Hildebrand et al. 2014; Hildebrand et al. 2013; Bingham et al. 2012; Wiggins 2009; Moore et al. 2007
	Biomass/ecology	Handegard et al. 2024; Preston et al. 2023; Bandara et al. 2022; de Robertis et al. 2021; Dunn et al. 2023; Premus et al. 2022; Levine et al. 2021; Chu et al. 2019; Pedersen et al. 2019; Camus et al. 2018; Mordy et al. 2017; Swart et al. 2016; Goebel et al. 2014; Guihen et al. 2014



			Fields of Study								
		Essential Ocean and Climate Variables	Fluxes and air- sea interaction	Tropical cyclones and extreme winds, inc. air-sea interactions	Meso and submeso-scale processes	Marginal sea ice	Waves	Oceanic boundary layer	Geodesy	Passive acoustics	Biomass/ ecology
		Sea state	✓	\checkmark	\checkmark		 ✓ 	✓		\checkmark	✓
		Ocean surface stress					✓				
		Sea ice				√					
		Sea surface height							✓		
		Sea surface temperature	√	√	√	✓	✓	√		\checkmark	✓
	Physics	Subsurface temperature	✓	✓	✓	✓	✓	√		✓	✓
		Surface currents	✓		✓			√			
		Subsurface currents	✓	✓	✓		✓	√			
		Sea surface salinity	✓	✓	✓	✓	✓	√		√	✓
		Ocean surface heat flux	√	✓							
		Ocean bottom pressure							\checkmark		
S		Oxygen	√	✓	√	✓	✓	√		√	✓
3LE		Nutrients									
ARIAE		Inorganic carbon	✓	√				√			
	Biogeo-	Transient tracers									
$\frac{2}{2}$	chemistry	Particulate matter									✓
EAI	,	Nitrous oxide									
8		Stable carbon isotopes									
AL		Dissolved inorganic carbon									
Ê		Phytoplankton biomass and diversity									✓
SEN		Zooplankton biomass and diversity									✓
ŝ	Biology and	Fish abundance and distribution	\checkmark							\checkmark	✓
		Seabird abundance and distribution									
		Marine mammal abundance and								1	
	Ecosystems	Distribution	~							v	
		Hard coral cover and composition									
		Seagrass cover and composition									
		composition									
		Mangrove cover and composition									
	Cross-	Ocean colour	✓	✓	✓	✓	✓	√		√	✓
	disciplinary	Marine Debris (emerging)									
	uiscipiillaiy	Ocean Sound						√			
S		Precipitation	✓								
BLI		Pressure	✓	✓	✓	✓	\checkmark	√	\checkmark	\checkmark	✓
SIA	Surface	Radiation budget	✓	✓	✓	✓				✓	
A	Atmosphere	Temperature (temporal resolution									
Ĩ	, territo oprior e	and height above surface if known)	✓	✓	✓	✓	✓	√		√	✓
IA		Water Vapour	✓	✓	✓	√	✓	√		√	✓
1		Wind speed and direction	✓	✓	✓	✓	✓	√		√	✓
L C		Aerosols									
TIA	Atmospheric composition	Carbon dioxide, methane and other									
EN.		greenhouse gases	✓	✓		✓	\checkmark	✓			✓
ESS		Ozone									
		Precursors for aerosols and ozone									
OTHER		Imagery (surface and subsurface)		✓	✓	✓					
	Other	Photosynthetically Active Radiation		✓	✓	✓					✓
	variables	Magnetic field	✓								
		Bathymetry	✓								
		eDNA									✓



